

Attorney Jocket No.: 09158-003002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : William J. Clancey et al. Art Unit : 3624
Serial No. : 09/855,684 Examiner : Richard C. Weisberger
Filed : May 16, 2001
Title : POPULATING CELLS OF AN ELECTRONIC FINANCIAL STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TERMINAL DISCLAIMER

Pursuant to 37 CFR §3.73(b), MODERNSOFT, INC., certifies that it is the assignee of the entire right, title, and interest in the above application by virtue of an assignment from the inventors of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 9679, Frame 0640 on January 4, 1999. Modernsoft, Inc. also certifies that it is the assignee of U.S. Patent Numbers 6,134,563 (Application No. 08/933,584) and 6,292,811 (Application No. 09/114,590) by virtue of assignments recorded in the Patent and Trademark Office. A copy of the assignments are attached for your reference.

The undersigned is empowered to act on behalf of the assignee.

Pursuant to 37 CFR §1.321(b), and to obviate a double patenting rejection, the assignee identified above hereby waives and disclaims the terminal portion of the term of the entire patent to be granted upon the above identified application subsequent to the expiration date of U.S. Patent Numbers 6,134,563 and 6,292,811, provided that any patent granted on the above identified application shall be enforceable only for and during such period that it is commonly owned with U.S. Patent Numbers 6,134,563 and 6,292,811.

The assignee identified above does not disclaim any terminal part of any patent granted on the above identified application prior to the expiration date of the full statutory term of U.S.

CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

June 11, 2003

Date of Transmission

Matilde C. Sanchez

Signature

Matilde C. Sanchez

Typed or Printed Name of Person Signing Certificate

Applicant : William J. Clancey et al.
Serial No. : 09/855,684
Filed : May 16, 2001
Page : 2 of 2

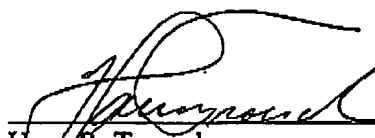
Attorney's Docket No.: 09158-003002

Patent Numbers 6,134,563 and 6,292,811 in the event that they later: expire for failure to pay a maintenance fee, are held unenforceable, are found invalid, are statutorily disclaimed in whole or terminally disclaimed under 37 CFR §1.321(a), have all claims cancelled by a reexamination certificate, or are otherwise terminated prior to expiration of their statutory term, except for the separation of legal title as stated above. Assignee herein does not disclaim or otherwise affect any part of U.S. Patent Numbers 6,134,563 and 6,292,811.

This disclaimer runs with any patent granted on the above application and is binding upon the grantee, its successors or assigns.

Please apply the terminal disclaimer fee of \$55.00, or any additional fees, or any credits, to Deposit Account No. 06-1050, referencing Attorney Docket No. 09158-003002 .

Respectfully submitted,

Date: 11 June 03
Hans R. Troesch
Reg. No. 36,950

Fish & Richardson P.C.
500 Arguello Street, Suite 500
Redwood City, California 94063
Telephone: (650) 839-5070
Facsimile: (650) 839-5071

50150482.doc



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APRIL 09, 1999

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FISH & RICHARDSON P.C.
HANS R. TROESCH
2200 SAND HILL ROAD
SUITE 100
MENLO PARK, CA 94025



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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/04/1999

REEL/FRAME: 9679/0640
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CLANCEY, WILLIAM J.

DOC DATE: 11/13/1998

ASSIGNOR:

HECHT, LEE

DOC DATE: 11/13/1998

ASSIGNOR:

HELPERT, ERICH A.

DOC DATE: 11/13/1998

ASSIGNOR:

WU, JOHN

DOC DATE: 11/13/1998

ASSIGNOR:

CANTY, EDGAR P.

DOC DATE: 11/13/1998

ASSIGNEE:

MODERNSOFT, INC.
555 BRYANT STREET, #374
PALO ALTO, CALIFORNIA 94301

* No Docketing Required *	
Reviewed By Practice Systems	Initials: <u> </u>
Reviewed By Billing Secretary	Initials: <u> </u>

9679/0640 PAGE 2

SERIAL NUMBER: 09114590
PATENT NUMBER:

FILING DATE: 07/13/1998
ISSUE DATE:

PAULA MCCRAY, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

Substitute Form PTO-1595

01-11-1999

Attorney Docket No.: 09158/003001

MRD 1-7-99

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E.

Assistant Commissioner for Patents: Plei

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1. Name of conveying party(ies):
**William J. Clancey; Lee Hecht; Erich A. Helfert;
 John Wu; Edgar P. Cauty**

2. Name and address of receiving party(ies):

**Modernsoft, Inc.
 555 Bryant Street, #374
 Palo Alto, CA 94301**

Additional name(s) attached? ☐ Yes ☒ No

Additional names/addresses attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other:

Execution Date: 11/13/98

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is:

A. Patent Application No.(s):

B. Patent No.(s):

09/114,590

Additional numbers attached? ☐ Yes ☒ No

5. Name/address of party to whom correspondence concerning document should be mailed:

**Hans R. Troesch
 Fish & Richardson P.C.
 2200 Sand Hill Road, Suite 100
 Menlo Park, CA 94025**

6. Total number of applications/patents involved: [1]

7. Total fee (37 CFR 3.41): \$40

- ☒ Enclosed
☐ Authorized to charge deposit account

8. Deposit account number: 06-1050

If the fee above is being charged to deposit account, a duplicate copy of this cover sheet is attached. Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.

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9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and the attached is the original document.

Hans R. Troesch

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 4

01-11-1999 JW-PLK 0000009 09114596

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09114596

Date of Deposit: January 4, 1999

I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Bridget Conger
 Bridget Conger

PATENT
ATTORNEY DOCKET NO. 09158/003001
ASSIGNMENT

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS, William J. Clancey, Lee Hecht, Erich A. Helfert, John Wu and Edgar P. Canty, hereby sell, assign, and transfer to ASSIGNEE, Modernsoft, Inc., a California Corporation, having its offices located at 555 Bryant Street, #374, Palo Alto, California 94301, and the successors, assigns and legal representatives of the ASSIGNEE all of their right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements in the invention entitled:

POPULATING CELLS OF AN ELECTRONIC FINANCIAL STATEMENT

and which

[X] was filed on July 13, 1998.

[X] with Express Mail No. EL 110 517 429 US (Application Number not yet known).

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or in any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and that ASSIGNORS will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the execution date of the application and/or the filing date and serial number of said application when officially known.

ASSIGNORS request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE.

executed this

13th day of November

Signature of Inventor

William J. Clancey
William J. Clancey

STATE OF CALIFORNIA)

) ss.

County of SAN MATEO)

On NOVEMBER 13, 1998, before me, MARNI MASTERSON, a Notary Public for the State of California, personally appeared William J. Clancey, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Witness my hand and official seal

[Signature]



PATENT
ATTORNEY DOCKET NO. 09158/003001
ASSIGNMENT

executed this

13th day of November

Signature of Inventor

Lee Hecht
Lee Hecht

STATE OF CALIFORNIA)

) ss.

County of SAN MATEO)

On NOVEMBER 13, 1998, before me, MARNI MASTERSON, a Notary Public for the State of California, personally appeared Lee Hecht, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

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executed this

13th day of November 1998

Signature of Inventor

Erich A. Helfert
Erich A. Helfert

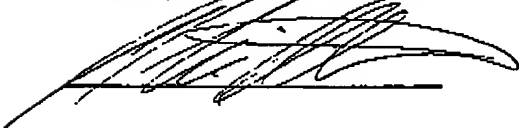
STATE OF CALIFORNIA)

) ss.

County of SAN MATEO)

On NOVEMBER 13, 1998, before me, MARNI MASTERSON, a Notary Public for the State of California, personally appeared Erich A. Helfert, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

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executed this

13th day of November

Signature of Inventor

John Wu
John Wu

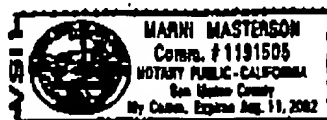
STATE OF CALIFORNIA)

) ss.

County of SAN MATEO)

On NOVEMBER 13, 1998, before me, MARNI MASTERSON, a Notary Public for the State of California, personally appeared John Wu, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

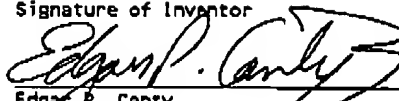
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executed this

13th day of November

Signature of Inventor


Edgar P. Canty

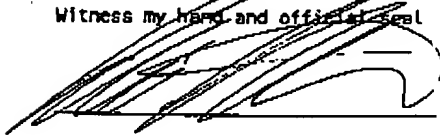
STATE OF CALIFORNIA)

County of SAN MATEO)

ss.

On NOVEMBER 13, 1998, before me, MARNI MASTERSON, a Notary Public for the State of California, personally appeared Edgar P. Canty, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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Washington, D.C. 20231

MAY 29, 1998

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EDOUARD A. GARCIA
2200 SAND HILL ROAD
SUITE 100
MENLO PARK, CA 94025

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FISH & RICHARDSON P.C.

SILICON VALLEY OFFICE

UNITED STATES PATENT AND TRADEMARK OFFICE
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RECORDATION DATE: 03/27/1998

REEL/FRAME: 9070/0947
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CLANCEY, WILLIAM J.

DOC DATE: 09/19/1997

ASSIGNOR:

HECHT, LEE

DOC DATE: 09/19/1997

ASSIGNOR:

HELFERT, ERICH A.

DOC DATE: 09/19/1997

ASSIGNOR:

WU, JOHN

DOC DATE: 09/19/1997

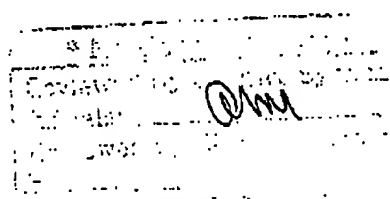
ASSIGNOR:

CANTY, EDGAR P.

DOC DATE: 09/19/1997

ASSIGNEE:

MODERNSOFT, INC.
555 BRYANT STREET, #374
PALO ALTO, CALIFORNIA 94301



07/11/2003 10:58 FAX 6508395071

FISH & RICHARDSON

028

9070/0947 PAGE 2

SERIAL NUMBER: 08933584
PATENT NUMBER:

FILING DATE: 09/19/1997
ISSUE DATE:

LAWAN FLETCHER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

RE



ET

Assistant Commissioner for Patents: Plea

100677758

1. Name of conveying party(ies):
William J. Clancey, Lee Hecht, Erich A. Helfert,
John Wu, Edgar P. Canty

Additional name(s) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Modernsoft, Inc.
555 Bryant Street, #374
Palo Alto, CA 94301

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MAR 27 1998

Additional names/addresses attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other:

Execution Date: 9/19/97

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is: 9/19/97

A. Patent Application No.(s):

08/933,584

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name/address of party to whom correspondence concerning document should be mailed:

Edouard A. Garcia
Fish & Richardson P.C.
2200 Sand Hill Road, Suite 100
Menlo Park, CA 94025

6. Total number of applications/patents involved: 1

7. Total fee (37 CFR 3.41): \$40

- ☒ Enclosed
☐ Authorized to charge deposit account

8. Deposit account number: 06-1050

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9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and the attached is the original document.

Edouard A. Garcia, Reg. No. 38,461

Name of Person Signing

Signature

3/23/98

Date

Total number of pages including cover sheet, attachments, and document: 4

87589 PAL1

04/06/1998 TTON11 00000173 08933584
01 FC:581 40.00 DP

Date of Deposit March 23, 1998

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Rosa A. Caviedes

PATENT
ATTORNEY DOCKET NO. 09159/002001
ASSIGNMENT

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS, William J. Clancey, Lee Hecht, Erich A. Helfert, John Wu and Edgar P. Canty, hereby sell, assign, and transfer to ASSIGNEE, Modernsoft, Inc., a California Corporation, having its offices located at 555 Bryant Street, #374, Palo Alto, California 94301, and the successors, assigns and legal representatives of the ASSIGNEE all of their right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements in the invention entitled:

CREATING AND EDITING DOCUMENTS

and which is found in

- (a) X U.S. patent application executed on September 19, 1997, entitled as above and listing the above named persons as inventors
- (b) U.S. patent application serial no. filed on
- (c) U.S. Patent No.: , issued

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or in any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and that ASSIGNORS will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the execution date of the application and/or the filing date and serial number of said application when officially known.

ASSIGNORS request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE.

executed this

19 day of Sept 1997

Signature of Inventor

William J. Clancey
William J. Clancey

STATE OF CALIFORNIA

County of SAN MATEO

) ss.
)

On 9-19-97, before me, L. AUDRA HARTMAN, a Notary Public for the State of California, personally appeared William J. Clancey, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Witness my hand and official seal

L. Audra Hartman



PATENT
ATTORNEY DOCKET NO. 09158/002001
ASSIGNMENT

executed this

19th day of September 1997

Signature of Inventor

Lee Hecht
Lee Hecht

STATE OF CALIFORNIA)

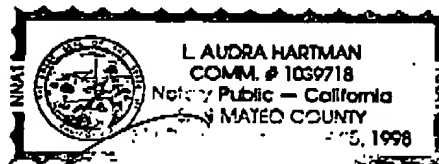
County of SAN MATEO)

ss.

On 9-19-97, before me, L. AUDRA HARTMAN, a Notary Public for the State of California, personally appeared Lee Hecht, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Witness my hand and official seal

L. Audra Hartman



executed this

19th day of September 1997

Signature of Inventor

Erich A. Helfert
Erich A. Helfert

STATE OF CALIFORNIA)

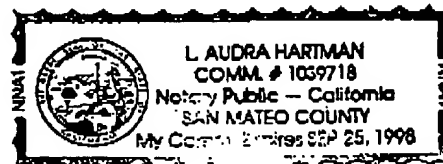
County of SAN MATEO)

ss.

On 9-19-97, before me, L. AUDRA HARTMAN, a Notary Public for the State of California, personally appeared Erich A. Helfert, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Witness my hand and official seal

L. Audra Hartman



executed this

19th day of Sept. 1997

Signature of Inventor

John Wu
John Wu

STATE OF CALIFORNIA)

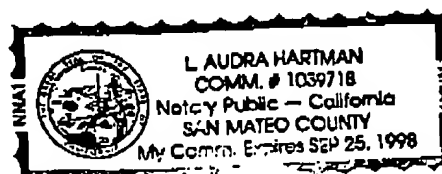
County of SAN MATEO)

ss.

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Witness my hand and official seal


L. Audra Hartman



executed this

19th day of SEPTEMBER, 1997

Signature of Inventor

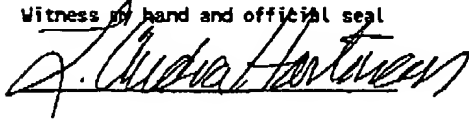

Edgar P. Canty

STATE OF CALIFORNIA)

County of SAN MATEO) ss.

On 9-19-97, before me, L. AUDRA HARTMAN, a Notary Public for the State of California, personally appeared Edgar P. Canty, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Witness my hand and official seal



77093.PAL1

FISH & RICHARDSON
12-03-1997

031

Attorney Docket No.: 09158/002001

meo
9-19-97

RF



100581883

08/933584

Assistant Commissioner for Patents: Please

1. Name of conveying party(ies):
William J. Clancey, Lee Hecht, Erich A. Helfert,
John Wu, Edgar P. Canty

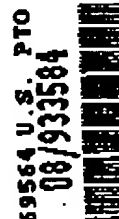
Additional name(s) attached? ☐ Yes ☒ No

3. Nature of conveyance:
☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other.

Execution Date: 9/19/97

2. Name and address of receiving party(ies):
Modersoft, Inc.
555 Bryant Street, #374
Palo Alto, CA 94301

Additional names/addresses attached? ☐ Yes ☒ No



4. Application number(s) or patent number(s):
If this document is being filed with a new application, the execution date of the application is: 9/19/97
A. Patent Application No.(s): B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name/address of party to whom correspondence
concerning document should be mailed:

Edouard A. Garcia
Fish & Richardson P.C.
2200 Sand Hill Road, Suite 100
Menlo Park, CA 94025

6. Total number of applications/patents involved: 1

7. Total fee (37 CFR 3.41): \$40
☒ Enclosed
☐ Authorized to charge deposit account

8. Deposit account number: 06-1050
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to our Deposit Account No. 06-1050.

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attached is the original document.

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Name of Person Signing

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